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=> d his

     (FILE 'USPAT' ENTERED AT 15:30:03 ON 10 AUG 1999)
L1          21 S CUSIP
L2          49 S "COMPLIANCE INFORMATION"
L3          49 S COMPLIANCE INFORMATION
L4           0 S L3 AND SECURITIES
L5           7 S L3 AND EXTRACT?
L6           2 S SEC (3W) FILING#
L7         593 S SECURITIES AND INFORMATION
L8          33 S L7 AND 707/CLAS
L9          15 S L8 AND EXTRACT?

=> s compliance (P) SEC

       25225 COMPLIANCE
      146116 SEC
L10      121 COMPLIANCE (P) SEC

=> s compliance (P) SEC (P) filing#

       25225 COMPLIANCE
      146116 SEC
       23713 FILING#
L11        0 COMPLIANCE (P) SEC (P) FILING#

=> d 110 1-10
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1. 5,931,646, Aug. 3, 1999, Blood delivery instrument for regulating the amount of blood stored in an accumulator independent of the pumping operation; Atsuhiko Nogawa, et al., 417/395, 384 [IMAGE AVAILABLE]

2. 5,931,160, Aug. 3, 1999, Ventilator control system and method; Don Gilmore, et al., 128/204.21, 204.18, 204.23, 205.23 [IMAGE AVAILABLE]

3. 5,902,177, May 11, 1999, Apparatus and method for removing ribs; Clement Tessier, et al., 452/156, 157, 158, 171 [IMAGE AVAILABLE]

4. 5,878,668, Mar. 9, 1999, Process for preparing stamp; Yoichi Ando, et al., 101/379, 368, 401.1 [IMAGE AVAILABLE]

5. 5,865,417, Feb. 2, 1999, Integrated electrically operable normally closed valve; James M. Harris, et al., 251/11; 338/23 [IMAGE AVAILABLE]

6. 5,858,600, Jan. 12, 1999, Method of controlling electric charge of a two-component developing agent; Takayuki Itakura, et al., 430/137; 361/226 [IMAGE AVAILABLE]

7. 5,847,778, Dec. 8, 1998, Video display device; Yasuaki Muto, et al., 348/759, 761, 776; 349/25, 28, 37 [IMAGE AVAILABLE]

8. 5,846,478, Dec. 8, 1998, Process for producing polyamide blow molded product; Koji Onishi, et al., 264/523, 537, 540; 525/66, 183 [IMAGE AVAILABLE]

9. 5,843,059, Dec. 1, 1998, Absorbent composite and disposable absorbent garment comprising same; Michael John Niemeyer, et al., 604/368, 374 [IMAGE AVAILABLE]

10. 5,809,483, Sep. 15, 1998, Online transaction processing system for bond trading; S. William Broka, et al., 705/37 [IMAGE AVAILABLE]

=> s CUSIP

L1   21 CUSIP

=> d 1-21

1. 5,926,552, Jul. 20, 1999, System and process for guaranteeing
signatures on securities; Paul McKeon, 380/51, 24 [IMAGE AVAILABLE]

2. 5,918,218, Jun. 29, 1999, Method and apparatus for automated trade
transactions processing; Randall C. Harris, et al., 705/37, 35 [IMAGE
AVAILABLE]

3. 5,915,209, Jun. 22, 1999, Bond trading system; David Lawrence,
455/31.2 [IMAGE AVAILABLE]

4. 5,893,078, Apr. 6, 1999, System for receiving, processing, creating,
storing, and disseminating investment information; Daniel Gwenar, 705/36,
35, 37 [IMAGE AVAILABLE]

5. 5,873,071, Feb. 16, 1999, Computer method and system for
intermediated exchange of commodities; Robert A. Ferstenberg, et al.,
705/37, 36 [IMAGE AVAILABLE]

6. 5,819,238, Oct. 6, 1998, Apparatus and accompanying methods for
automatically modifying a financial portfolio through dynamic
re-weighting based on a non-constant function of current capitalization
weights; Erhard Robert Fernholz, 705/36 [IMAGE AVAILABLE]

7. 5,809,483, Sep. 15, 1998, Online transaction processing system for
bond trading; S. William Broka, et al., 705/37 [IMAGE AVAILABLE]

8. 5,802,499, Sep. 1, 1998, Method and system for providing credit
support to parties associated with derivative and other financial
transactions; Gerald P. Sampson, et al., 705/35 [IMAGE AVAILABLE]

9. 5,758,097, May 26, 1998, System for voting stock component interests;
A. Joseph Debe, et al., 705/35, 12 [IMAGE AVAILABLE]

10. 5,671,363, Sep. 23, 1997, Private stock option account control and
exercise system; John M. Cristofich, et al., 705/37 [IMAGE AVAILABLE]

11. 5,671,358, Sep. 23, 1997, System for voting stock component
interests; A. Joseph Debe, et al., 705/1 [IMAGE AVAILABLE]

12. 5,606,361, Feb. 25, 1997, Videophone interactive mailbox facility
system and method of processing information; John Davidsohn, et al.,
348/14; 364/226, DIG.1; 379/93.12, 93.17 [IMAGE AVAILABLE]

13. 5,517,406, May 14, 1996, Method and apparatus for data verification
and position reporting in an automated trade transactions processing
system; Randall C. Harris, et al., 705/30, 37 [IMAGE AVAILABLE]

14. 5,502,637, Mar. 26, 1996, Investment research delivery system

Roland C. Beaulieu, et al., 705/36 [IMAGE AVAILABLE]

15. 5,497,317, Mar. 5, 1996, Device and method for improving the speed and reliability of security trade settlements; John G. Hawkins, et al., 705/37 [IMAGE AVAILABLE]

16. 5,243,331, Sep. 7, 1993, Keypad for computer system; Robert G. McCausland, et al., 345/172; 340/825.26 [IMAGE AVAILABLE]

17. 5,227,967, Jul. 13, 1993, Security instrument data system without property inapplicable nulls; Stephen C. Bailey, 705/35 [IMAGE AVAILABLE]

18. 5,220,500, Jun. 15, 1993, Financial management system; Andrew V. Baird, et al., 705/36 [IMAGE AVAILABLE]

19. 4,868,866, Sep. 19, 1989, Broadcast data distribution system; Billy L. Williams, Jr., 340/825.31, 825.26; 348/1, 6; 380/49; 455/4.2, 38.1; 705/35; 707/9 [IMAGE AVAILABLE]

20. 4,566,066, Jan. 21, 1986, Securities valuation system; Frederic C. Towers, 705/36 [IMAGE AVAILABLE]

21. 4,334,270, Jun. 8, 1982, Securities valuation system; Frederic C. Towers, 705/36; 364/227.2, 919.9 [IMAGE AVAILABLE]

=> s "compliance information"

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          25225 "COMPLIANCE"
         410738 "INFORMATION"
L2           49 "COMPLIANCE INFORMATION"
                ("COMPLIANCE"(W)"INFORMATION")
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=> s compliance information

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          25225 COMPLIANCE
         410738 INFORMATION
L3           49 COMPLIANCE INFORMATION
                (COMPLIANCE(W)INFORMATION)
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=> s l3 and securities

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            794 SECURITIES
L4            0 L3 AND SECURITIES
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=> s l3 and extract?

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         304228 EXTRACT?
L5            7 L3 AND EXTRACT?
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=> d 1-7

1. 5,895,450, Apr. 20, 1999, Method and apparatus for handling complaints; Marshall A. Sloo, 705/1; 345/331; 705/10 [IMAGE AVAILABLE]

2. 5,809,997, Sep. 22, 1998, Electronic medication chronolog device; James L. Wolf, 128/200.23, 202.22, 205.23 [IMAGE AVAILABLE]

3. 5,778,381, Jul. 7, 1998, Computer aided maintenance and repair information system for equipment subject to regulatory compliance; Michael A. Sandifer, 707/104; 701/29, 30; 707/103 [IMAGE AVAILABLE]

4. 5,612,869, Mar. 18, 1997, Electronic health care compliance assistance; Alan M. Letzt, et al., 705/3; 704/251, 501, 504 [IMAGE AVAILABLE]

5. 5,423,322, Jun. 13, 1995, Total compliance method and apparatus for
noninvasive arterial blood pressure measurement; Justin A. Clark, et al.,
600/485, 480, 507 [IMAGE AVAILABLE]

6. 4,843,377, Jun. 27, 1989, Remote confinement system; Kip L. Fuller,
et al., 340/573.4; 348/143; 379/38, 40, 49; 382/117, 118, 124; 600/532
[IMAGE AVAILABLE]

7. 4,616,316, Oct. 7, 1986, Medication compliance monitoring device
having conductive traces upon a frangible backing of a medication
compartment; John A. Hanpeter, et al., 221/2; 206/531, 534; 221/5, 302;
340/303; 364/479.14; 439/301; 702/177 [IMAGE AVAILABLE]

=> s SEC (3w) filing#

         146116 SEC
          23713 FILING#
L6   2 SEC (3W) FILING#

=> d 1-2

1. 5,864,871, Jan. 26, 1999, Information delivery system and method
including on-line entitlements; Eduard Kitain, et al., 707/104, 9, 10
[IMAGE AVAILABLE]

2. 5,819,271, Oct. 6, 1998, Corporate information communication and
delivery system and method including entitlable hypertext links; John J.
Mahoney, et al., 707/9, 10, 104 [IMAGE AVAILABLE]

=> s securities and information

            794 SECURITIES
         410738 INFORMATION
L7   593 SECURITIES AND INFORMATION

=> s l7 and 707/clas

           6423 707/CLAS
L8   33 L7 AND 707/CLAS

=> s l8 and extract?

         304228 EXTRACT?
L9   15 L8 AND EXTRACT?

=> d 1-15

1. 5,905,975, May 18, 1999, Computer implemented methods and apparatus
for auctions; Lawrence M. Ausubel, 705/37, 26; **707/104** [IMAGE
AVAILABLE]

2. 5,903,882, May 11, 1999, Reliance server for electronic transaction
system; Alan Asay, et al., 705/44; 380/23, 25, 30; **707/9** [IMAGE
AVAILABLE]

3. 5,893,911, Apr. 13, 1999, Method for defining and applying rules for
message distribution for transaction processing in a distributed
application; Harold Aron Piskiel, et al., **707/10**; 709/203, 217 [IMAGE
AVAILABLE]

4. 5,884,312, Mar. 16, 1999, System and method for securely accessing
information from disparate data sources through a network; Robert

Frederick Dustan, et al., **707/10**; 709/200, 206 [IMAGE AVAILABLE]

5. 5,872,640, Feb. 16, 1999, Facsimile form generation system; Larry Cohen, et al., 358/434, 403, 442; **707/508, 514** [IMAGE AVAILABLE]

6. 5,848,426, Dec. 8, 1998, Automatic data translation between different business systems; Ynjiun P. Wang, et al., **707/505**; 358/448 [IMAGE AVAILABLE]

7. 5,819,263, Oct. 6, 1998, Financial planning system incorporating relationship and group management; John W. Bromley, et al., **707/3**; 345/163, 348; 705/3, 10 [IMAGE AVAILABLE]

8. 5,590,325, Dec. 31, 1996, System for forming queries to a commodities trading database using analog indicators; Anthony D. Kolton, et al., **707/104**; 364/282.1, 286.3, DIG.1; 705/10 [IMAGE AVAILABLE]

9. 5,414,838, May 9, 1995, System for **extracting** historical market **information** with condition and attributed windows; Anthony D. Kolton, et al., **707/104**; 364/282.1, 286.3, DIG.1; 395/117; 705/36; **707/4** [IMAGE AVAILABLE]

10. 5,390,113, Feb. 14, 1995, Method and electronic apparatus for performing bookkeeping; Wesley C. Sampson, 705/30; **707/200** [IMAGE AVAILABLE]

11. 5,339,392, Aug. 16, 1994, Apparatus and method for creation of a user definable video displayed document showing changes in real time data; Jeffrey S. Risberg, et al., 345/333, 334; **707/501** [IMAGE AVAILABLE]

12. 5,303,368, Apr. 12, 1994, Dead lock preventing method for data base system; Koji Kotaki, **707/8**; 364/246.8, 281.5, 282.1, DIG.1; 710/200 [IMAGE AVAILABLE]

13. 4,104,717, Aug. 1, 1978, Automatic system for providing telephone number **information** service; Eiji Fujimura, **707/5**; 364/222.2, 222.3, 224, 224.1, 225, 225.4, 227.4, 234, 234.1, 235, 235.6, 236.3, 236.5, 238, 238.3, 238.4, 239, 239.4, 245.5, 245.9, 246, 246.2, 248, 248.2, 248.3, 252, 252.3, 252.4, 253, 253.1, 256.3, 256.4, 256.5, 259, 259.2, 265, 266.5, DIG.1 [IMAGE AVAILABLE]

14. 4,020,473, Apr. 26, 1977, Automatic system for providing telephone number **information** service; Eiji Fujimura, **707/3**; 364/222.81, 222.82, 228.3, 234, 235, 237, 243, 243.1, 248.2, 252.3, 252.4, 259, 259.2, 268, 268.3, 268.4, DIG.1 [IMAGE AVAILABLE]

15. 3,949,377, Apr. 6, 1976, Data storage and processing apparatus including processing of spacer characters; Joseph L. O'Neill, Jr., 345/507; 341/63, 87; 345/501, 523; 364/918, 918.8, 927.2, 940, 942, 947, 947.5, 951.1, 951.3, 959.1, DIG.2; **707/518** [IMAGE AVAILABLE]

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L7          593 S SECURITIES AND INFORMATION
L8           33 S L7 AND 707/CLAS
L9           15 S L8 AND EXTRACT?
L10         121 S COMPLIANCE (P) SEC
L11           0 S COMPLIANCE (P) SEC (P) FILING#

=> s l10 and 707/clas

         6423 707/CLAS
L12           0 L10 AND 707/CLAS

=> s l10 and 705/clas

         3499 705/CLAS
L13           1 L10 AND 705/CLAS

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1.  5,809,483, Sep. 15, 1998, Online transaction processing system for
bond trading; S. William Broka, et al., 705/37 [IMAGE AVAILABLE]

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L8           33 S L7 AND 707/CLAS
L9           15 S L8 AND EXTRACT?
L10         121 S COMPLIANCE (P) SEC
L11           0 S COMPLIANCE (P) SEC (P) FILING#
L12           0 S L10 AND 707/CLAS
L13           1 S L10 AND 705/CLAS
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